

## FINANCIAL MANAGEMENT

## Certified Compliance Officer and Analyst (CCOA)

Certified Compliance Officer and Analyst is a management program designed to teach a practical understanding of regulatory compliance needs. The program covers eight major subject areas and utilises a variety of training techniques. The key areas of the curriculum include understanding the regulatory environment, who is responsible for compliance, the role of corporate governance and ethics in compliance programs, and the implementation of compliance programs. The emphasis will be on practical and pragmatic approaches, rather than theoretical.

Certification Issued By**International Financial Institution Society (IFIS - USA)**

IFIS is a global network of professional services organization providing Financial, Client Solutions, Assets Management and Accreditation services. We have 62,000 outstanding professionals working together to deliver value in 15 countries.

Membership from

**HIGH AUTHORITY COUNCIL FOR ARAB BANKERS (HACAB)** HACAB is a world leading professional membership organisation that promotes, develops and supports banking professionals worldwide. We provide qualifications and professional development, share our knowledge, insight and technical expertise, and protect the quality and integrity of the banking and finance profession.

Who Should Attend?

- ✓ Directors and Senior Managers
- ✓ Company Secretaries
- ✓ Compliance Professionals
- ✓ Risk Managers
- ✓ Legal Advisers and Lawyers
- ✓ In-House Corporate Counsel
- ✓ Auditors and Audit Managers
- ✓ Compliance Officers and Managers

Plus anyone who is responsible for compliance affairs or regulatory relationships

Course Benefits

By Attending This Five Module Executive Program, You Will Be Able To:

- ✓ Define the meaning of compliance for your organization and be capable of developing an organizational response to its demands

- ✓ Know what drives your compliance exposure both at home and abroad
- ✓ Understand the risk consequences of non-compliance
- ✓ Appreciate the relationship between corporate governance and compliance, including the market expectation of that relationship
- ✓ Understand the implications of Sarbanes Oxley for corporate accountability and ethical behaviour
- ✓ Understand how to produce a corporate compliance program tailored to your own organization
- ✓ Learn how to optimize organizational structure for compliance

Eligibility Requirements

You are qualified to take this Certification if you have any of the below requirements:

- ✓ Must have at least one year experience in related field
- ✓ Bachelors or Masters Degree

Course Parts

- ✓ Introduction To Compliance
  - What is corporate compliance?
  - Who is responsible for managing it?
  - What are the benefits of its introduction?
  - Fostering a culture of accountability
- ✓ The Regulatory Environment And The Role Of Regulators
  - International responses to the problems of money laundering, economic crime, cyber-sabotage and terrorism
  - Non-compliance and its ramifications
  - Compliance And The Role Of Corporate Governance
  - What is governance?
  - The corporate constitution and governance structures
  - Duties and responsibilities of directors
  - Protection of stakeholder interests
- ✓ Compliance And Business Ethics
  - What is ethics?
  - Ethics and corporate accountability: Sarbanes-Oxley
  - Benefits of a commitment to sound business ethics
  - Corporate ethics guidelines
  - Developing A Corporate Compliance Program
  - Code of conduct
  - Policies and procedures
- ✓ Developing A Corporate Compliance Program
  - Best practice principles
  - Monitoring and auditing
  - Implementation Of Corporate Compliance
  - Implementation requirements
  - Case studies will be used to demonstrate how organizations have implemented compliance programs.
- ✓ The Corporate Compliance Function
  - The location of the compliance function
  - Integration or independence of the compliance function
  - An optimal compliance architecture
  - The Compliance Officer

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- ✓ Issues In Compliance
  - The demand for Corporate Social Responsibility (CSR)
  - Fraud and corruption control
  - International money laundering
  - Whistleblower programs and the law

### How to Register?

- ✓ [Register Online](#) or
- ✓ Fill-up the [registration form](#) and visit the Institute, with the following requirements then pay the necessary fees.
  - passport copy
  - 1 copy of passport size photo
  - eligible certificate copy or CV

### Contact Us

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