

## FINANCIAL MANAGEMENT

**Chartered Compliance Analyst (CCA)**

Certified Compliance Officer is a management program designed to teach a practical understanding of regulatory compliance needs. The program covers eight major subject areas and utilizes a variety of training techniques. The key areas of the curriculum include understanding the regulatory environment, which is responsible for compliance, the role of corporate governance and ethics in compliance programs, and the implementation of compliance programs. The emphasis will be on practical and pragmatic approaches, rather than theoretical.

**Certification Issued By**

**International Financial Institution Society (IFIS - USA)**  
IFIS is a global network of professional services organization providing Financial, Client Solutions, Assets Management and Accreditation services. We have 62,000 outstanding professionals working together to deliver value in 15 countries.

**Membership from**

**HIGH AUTHORITY COUNCIL FOR ARAB BANKERS (HACAB)** HACAB is a world leading professional membership organisation that promotes, develops and supports banking professionals worldwide. We provide qualifications and professional development, share our knowledge, insight and technical expertise, and protect the quality and integrity of the banking and finance profession.

**Learning Outcome**

- ✓ Define the meaning of compliance for your organization and be capable of developing an organizational response to its demands
- ✓ Know what drives your compliance exposure both at home and abroad
- ✓ Understand the risk consequences of non-compliance
- ✓ Appreciate the relationship between corporate governance and compliance, including the market expectation of that relationship
- ✓ Understand the implications of Sarbanes Oxley for corporate accountability and ethical behavior
- ✓ Understand how to produce a corporate compliance program tailored to your own organization
- ✓ Learn how to optimize organizational structure for compliance

**Who Should Attend?**

This highly practical course has been specifically designed for:

- ✓ Directors and Senior Managers
- ✓ Company Secretaries
- ✓ Compliance Professionals
- ✓ Risk Managers
- ✓ Legal Advisers and Lawyers
- ✓ In-House Corporate Counsel
- ✓ Auditors and Audit Managers
- ✓ Compliance Officers and Managers

Plus anyone who is responsible for compliance affairs or regulatory relationships

**Eligibility Requirements**

You are qualified to take this certification if you have any of the below requirements:

- ✓ Must have at least one year experience in related field
- ✓ Bachelors or Masters Degree

**Course Parts**

- ✓ Module 1
  - Introduction to Compliance
  - The Regulatory Environment and the Role of Regulators
- ✓ Module 2
  - The Regulatory Environment and The Role of Regulators continued
  - Compliance and the Role of Corporate Governance
- ✓ Module 3
  - Compliance and Business Ethics
  - Developing a Corporate Compliance Program
- ✓ Module 4
  - Developing a Corporate Compliance Program Continued
  - Implementation of Corporate Compliance
  - Case studies will be used to demonstrate how organizations have implemented compliance programs
- ✓ Module 5
  - The Corporate Compliance Function
  - Issues in Compliance

**How to Register?**

- ✓ [Register Online](#) or
- ✓ Fill-up the [registration form](#) and visit the Institute, with the following requirements then pay the necessary fees.
  - passport copy
  - 1 copy of passport size photo
  - eligible certificate copy

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Contact Us

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